



ENERGY COAST UTC

EXAMINATION POLICY NON-EXAMINATION ASSESSMENT 2025-28

Approved: Chair of Governors

Signed:



Date: January 2025

Date for Review: October 2028

Revision History:

Revision History			
Revision	Date	Owner	Summary of Changes
1	July 2016	LM	Updated content
2	May 2017	ICR	Updated content
3	November 2017	DW	Updated content
4	December 2018	DW	Reviewed
5	December 2019	DW	Minor update
6	April 2023	DW	Minor updates
7	December 2024	DW	Updates

This policy is reviewed and updated annually to ensure that non-examination assessment at Energy Coast UTC are planned for and managed in accordance with current requirements and regulations.

References in this policy to NEA and ICC refer to the JCQ documents Instructions for conducting nonexamination assessments and Instructions for conducting coursework.

Introduction

Non-examination assessments measure subject-specific knowledge and skills that cannot be tested by timed written papers.

There are three assessment stages and rules which apply to each stage. These rules often vary across subjects. The stages are:

- task setting
- task taking
- task marking (NEA 1)

The regulator's definition of an examination is very narrow. In effect, any type of assessment that is not 'externally set and taken by candidates at the same time under controlled conditions' is classified as non-examination assessment (NEA).

'NEA' therefore includes, but is not limited to, internal assessment. Externally marked and/or externally set practical examinations taken at different times across centres are classified as 'NEA'. (NEA, Foreword).

Purpose of the policy

This policy confirms the JCQ requirement that Energy Coast UTC has in place for inspection that must be reviewed and updated annually, a written policy regarding the management of non-examination assessments including controlled assessments and coursework.

Awarding bodies require centres to have a non-examination assessment policy in place to:

- cover procedures for planning and managing non-examination assessments
- define staff roles and responsibilities with respect to non-examination assessments
- manage risks associated with non-examination assessments (NEA 1)

Procedures for planning and managing non-examination assessment identifying staff roles and responsibilities

The basic principles

Head of centre role and responsibilities:

Returns a declaration (managed as part of the National Centre Number Register annual update) to confirm awareness of, and that relevant centre staff are adhering to, the latest version of Instructions for conducting non-examination assessments and Instructions for conducting coursework, and confirms all reasonable steps have been or will be taken to ensure that all candidates at the centre have had, or will have, the opportunity to undertake the (GCSE English Language) Spoken Language endorsement

Ensures the centre's policy is fit for purpose and covers all types of non-examination assessment

Ensures the centre's internal appeals procedure clearly details the process to be followed by candidates (or their parents/carers) appealing against internal assessment decisions (centre assessed marks) and requesting a review of the centre's marking

Ensure the correct conduct of non-examination assessment (including endorsements) which comply with the JCQ documents Instructions for conducting non-examination assessments, Instructions for conducting coursework and awarding body subject-specific instructions

Ensure the centre-wide calendar records assessment schedules by the start of the academic year

QA lead/Lead internal verifier (or equivalent) role and responsibilities:

Confirm with subject heads that appropriate awarding body forms and templates for non-examination assessment (including endorsements) are used by teachers and candidates

Ensure appropriate procedures are in place to internally standardise/verify the marks awarded by subject teachers in line with awarding body criteria

Ensure appropriate centre-devised templates are provided to capture/record relevant information given to candidates by subject teachers

Ensure appropriate centre-devised templates are provided to capture/record relevant information is received and understood by candidates

Where not provided by the awarding body, ensure a centre-devised template is provided for candidates to keep a detailed record of their own research, planning, resources etc.

Subject lead role and responsibilities:

Ensure subject teachers understand their role and responsibilities within the non-examination assessment process

Ensure the JCQ documents Instructions for conducting non-examination assessments, Instructions for conducting coursework and relevant awarding body subject specific instructions are followed in relation to the conduct of non-examination assessment (including endorsements)

Work with the QA lead/Lead internal verifier (or equivalent role) to ensure appropriate procedures are followed to internally standardise/verify the marks awarded by subject teachers

Subject teacher role and responsibilities:

Understand and comply with the general instructions as detailed in the JCQ documents Instructions for conducting non-examination assessments and Instructions for conducting coursework

Where these may also be provided by the awarding body, understand and comply with the awarding body's specification for conducting non-examination assessments and coursework, including any subject specific instructions, teachers' notes or additional information on the awarding body's website

Mark internally assessed work to the criteria provided by the awarding body

Ensure the exams officer is provided with relevant entry codes for subjects (whether the entry for the internally assessed component forms part of the overall entry code for the qualification or is made as a separate unit entry code) to the internal deadline for entries for the relevant exam series

Exams officer role and responsibilities:

Signpost the annually updated JCQ documents Instructions for conducting non-examination assessments and Instructions for conducting coursework to relevant centre staff

Carry out tasks where these may be applicable to the role in supporting the administration/management of non-examination assessments and coursework

Task setting

Subject teacher role and responsibilities:

Select tasks to be undertaken where a number of comparable tasks are provided by the awarding body or designs tasks where this is permitted by criteria set out within the subject specification

Make candidates aware of the criteria used to assess their work

Issuing of tasks

Subject teacher role and responsibilities:

Determine when set tasks are issued by the awarding body

Identify date(s) when tasks should be taken by candidates

Access set tasks in sufficient time to allow planning, resourcing and teaching and ensures that materials are stored securely at all times

Task taking

Subject teacher role and responsibilities:

Check the awarding body's subject-specific requirements ensuring candidates take tasks under the required conditions and supervision arrangements

Ensure there is sufficient supervision to enable the work of a candidate to be authenticated

Ensure there is sufficient supervision to ensure the work a candidate submits is their own

To ensure that where work may be completed outside of the centre without direct supervision, that the work produced is the candidate's own

Where candidates may work in groups, keep a record of each candidate's contribution and it must be possible to attribute assessable outcomes to individual candidates

Ensure candidates are aware of the current JCQ documents Information for candidates - nonexamination assessments and Information for candidates - Social media

Ensure candidates understand and comply with the regulations in relevant JCQ Information for candidates documents

Ensure candidates:

- understand that information from all sources must be referenced
- receive guidance on setting out references
- are aware that they must not plagiarise other material
- are aware that students who misuse AI such that the work they submit for assessment is not their own will have committed malpractice, in accordance with JCQ regulations, and may attract severe sanctions
- are aware that if any sections of their work are reproduced directly from AI generated responses, those elements must be identified by the student and they must understand that this will not allow them to demonstrate that they have independently met the marking criteria and therefore will not be rewarded

Advice and feedback

Subject teacher role and responsibilities:

As relevant to the subject/component, advise candidates on relevant aspects before candidates begin working on a task

Will not provide candidates with model answers or writing frames specific to the task

When reviewing candidates' work, unless prohibited by the specification, provide oral and written advice at a general level to candidates

Allow candidates to revise and re-draft work after advice has been given at a general level

Record any assistance given beyond general advice and takes it into account in the marking or submits it to the external examiner

Ensure when work has been assessed, candidates are not allowed to revise it

Resources

Subject teacher role and responsibilities:

Refer to the awarding body's specification and/or associated documentation to determine if candidates have restricted/unrestricted access to resources when planning and researching their tasks

Refer to the JCQ document AI Use in Assessments: Protecting the Integrity of Qualifications (www.jcq.org.uk/exams-office/malpractice) as well as the awarding body's specification and/or associated documentation published by the awarding bodies and the regulator

By referencing this document and the centre's malpractice policy, makes candidates aware of the appropriate and inappropriate use of AI, the risks of using AI, and the possible consequences of using AI inappropriately in a qualification assessment

Ensure conditions for any formally supervised sessions are known and put in place

Ensure appropriate arrangements are in place to keep the work to be assessed, and any preparatory work, secure between any formally supervised sessions, including work that is stored electronically

Ensure conditions for any formally supervised sessions are understood and followed by candidates

Ensure candidates understand that they are not allowed to introduce improved notes or new resources between formally supervised sessions

Ensure that where appropriate to include references, candidates keep a detailed record of their own research, planning, resources, etc.

Refer to the awarding body's specification to determine where word and time limits apply/are mandatory

Collaboration and group work

Subject teacher role and responsibilities:

Unless stated otherwise in the awarding body's specification, and where appropriate, allow candidates to collaborate when carrying out research and preparatory work

Ensure that it is possible to attribute assessable outcomes to individual candidates

Ensure that where an assignment requires written work to be produced, each candidate writes up their own account of the assignment

Assess the work of each candidate individually

Authentication procedures

Subject teacher role and responsibilities:

Where required by the awarding body's specification:

- ensure candidates sign a declaration confirming the work they submit for final assessment is their own unaided work
- sign the teacher declaration of authentication confirming the requirements have been met
- Keep signed candidate declarations on file until the deadline for requesting reviews of results has passed or until any appeal, malpractice or other results enquiry has been completed, whichever is later
- Provide signed candidate declarations where these may be requested by a JCQ Centre Inspector (Electronic signatures are acceptable)
- Where there may be doubt about the authenticity of the work of a candidate or if malpractice is suspected, follow the authentication procedures and malpractice information in the JCQ documents Instructions for conducting non-examination assessments and/or Instructions for conducting coursework and inform a member of the senior leadership team
- Understand that if, during the external moderation process, it is found that the work has not been properly authenticated, the awarding body will set the mark(s) awarded by the centre to zero

Presentation of work

Subject teacher role and responsibilities:

Obtain informed consent at the beginning of the course from parents/carers if videos or photographs/images of candidates will be included as evidence of participation or contribution

Instruct candidates to present work as detailed in the JCQ documents Instructions for conducting nonexamination assessments/coursework unless the awarding body's specification gives different subject specific instructions

Instruct candidates to add their candidate number, centre number and the component code of the assessment as a header/footer on each page of their work

Ensures if candidates' work is to be submitted electronically, that it meets the awarding body's specified requirements

Keeping materials secure

Subject teacher role and responsibilities:

When work is being undertaken by candidates under formal supervision, ensure work is securely stored between sessions (if more than one session)

When work is submitted by candidates for final assessment, ensure work is securely stored

Follow secure storage instructions as defined in the JCQ documents Instructions for conducting nonexamination assessments/coursework

Take sensible precautions when work is taken home for marking

Store internally assessed work, including the sample returned after awarding body moderation, securely until all possible post-results services have been exhausted

If post-results services have not been requested, return internally assessed work to candidates (if requested by a candidate) after the deadline for requesting a review of results for the relevant series

If post-results services have been requested, return internally assessed work to candidates (if requested by a candidate) once the review of results and any subsequent appeal has been completed

Remind candidates of the need to keep their own work secure at all times and not share completed or partially completed work on-line, on social media or through any other means (Remind candidates of the contents of the JCQ document Information for candidates - social media)

Where work is stored electronically, liaise with IT to ensure the protection and back-up of candidates' work and that appropriate arrangements are in place to restrict access to it between sessions

Understands that during the period from the submission of work for formal assessment until the deadline for requesting a review of results, copies of work may be used for other purposes, provided that the originals are stored securely as required

IT role and responsibilities:

Ensure appropriate arrangements are in place to restrict access between sessions to candidates' work where work is stored electronically

Restrict access to this material and utilises appropriate security safeguards such as firewall protection and virus scanning software

Employ an effective back-up strategy so that an up to date archive of candidates' evidence is maintained

Consider the contingency of candidates' work being backed-up on two separate devices, including one offsite back-up and implementing appropriate security arrangements which protect candidates' work in the event of IT system corruption and cyber-attacks

Consider encrypting any sensitive digital media to ensure the security of the data stored within it and refers to awarding body guidance to ensure that the method of encryption is suitable

Task marking - externally assessed components

Conduct of externally assessed work

Subject teacher role and responsibilities:

Liaise with the exams officer regarding the arrangements for any externally assessed components of a specification which must be conducted within a window of dates specified by the awarding body and where applicable, according to the JCQ document Instructions for conducting examinations

Liaise with the Visiting Examiner where this may be applicable to any externally assessed component

Exams officer role and responsibilities:

Arrange timetabling, rooming and invigilation where and if this is applicable to any externally assessed non-examination component of a specification

Conduct the externally assessed component within the window specified by the awarding body and where applicable, according to JCQ document Instructions for conducting examinations

Submission of work

Exams officer role and responsibilities:

Provide the attendance register to the subject teacher where applicable

Ensure the awarding body's attendance register for any externally assessed component is completed correctly

Where candidates' work must be despatched to an awarding body's examiner or uploaded electronically, ensures this is completed by the date specified by the awarding body

Keep a copy of the attendance register until after the deadline for reviews of results for the exam series

Package the work as required by the awarding body and attaches the examiner address label

Ensure that the package in which the work is despatched is robust and securely fastened

Despatch the work to the awarding body's instructions by the required deadline

Task marking - internally assessed components

Marking and annotation

Head of centre role and responsibilities:

Makes every effort to avoid situations where a candidate is assessed by a person who has a close personal relationship with the candidate, for example, members of their family (which includes step-family, foster family and similar close relationships) or close friends and their immediate family (e.g son/daughter)

Where this cannot be avoided, ensures the possible conflict of interest is declared to the relevant awarding body and the marked work is submitted for moderation whether or not it is part of the moderation sample

Subject lead role and responsibilities:

Set timescales for teachers to inform candidates of their centre-assessed marks that will allow sufficient time for a candidate to appeal an internal assessment decision/request a review of the centre's marking prior to the marks being submitted to the awarding body external deadline

Subject teacher role and responsibilities:

Attend/access awarding body training/updates as required to ensure familiarity with the mark scheme/marketing process

Mark candidates' work in accordance with the marking criteria provided by the awarding body (Does not use artificial intelligence as the sole means of marking candidates' work)

Annotate candidates' work as required to facilitate internal standardisation of marking and enable external moderation to check that marking is in line with the assessment criteria

Inform candidates of their marks which could be subject to change by the awarding body moderation process

Ensure candidates are informed of the timescale set by the subject lead or as indicated in the centre's internal appeals procedure to enable an internal appeal/request for a review of marking to be submitted by a candidate and the outcome known before final marks are submitted to the awarding body

Internal standardisation

QA lead/Lead internal verifier (or equivalent) role and responsibilities:

Ensure that internal standardisation of marks across assessors and teaching groups takes place as required and to sequence

Support staff not familiar with the mark scheme (e.g. ECTs, supply staff etc.)

Ensure accurate internal standardisation - for example by:

- obtaining reference materials at an early stage in the course
- holding a preliminary trial marking session prior to marking
- carrying out further trial marking at appropriate points during the marking period
- after most marking has been completed, holds a further meeting to make final adjustments
- making final adjustments to marks prior to submission retaining work and evidence of standardisation
- Retain evidence that internal standardisation has been carried out

Subject teacher role and responsibilities:

Indicate on work (or cover sheet) the date of marking

Mark to common standards

Keep candidates work secure until after the closing date for review of results for the series concerned or until any appeal, malpractice or other results enquiry has been completed, whichever is later

Submission of marks and work for moderation

Subject teacher role and responsibilities:

Provides marks to the exams officer to the internal deadline

Provides the moderation sample to the exams officer to the internal deadline

Ensure that where a candidate's work has been facilitated by a scribe or practical assistant, the relevant completed cover sheet is securely attached to the front of the work and sent to the moderator in addition to the sample requested

Ensure the moderator is provided with authentication of candidates' work, confirmation that internal standardisation has been undertaken and any other subject-specific information where this may be required

Provide the exams officer with any supporting documentation required by the awarding body

Exams officer role and responsibilities:

Input and submit marks online, via the awarding body secure extranet site, keeping a record of the marks submitted, to the external deadline

Where responsible for marks input, ensure checks are made that marks for any additional candidates are submitted and ensure mark input is checked before submission to avoid transcription errors

Submits the requested samples of candidates' work to the moderator by the awarding body deadline, keeping a record of the work submitted

Ensure that for postal moderation:

- work is dispatched in packaging provided by the awarding body
- moderator label(s) provided by the awarding body are affixed to the packaging
- proof of dispatch is obtained and kept on file until the successful issue of final results

Through the subject teacher, ensures the moderator is provided with authentication of candidates' work, confirmation that internal standardisation has been undertaken and any other subject-specific information where this may be required and submit any other supporting documentation required by the awarding body

Storage and retention of work after submission of marks

Subject teacher role and responsibilities:

Keep a record of names and candidate numbers for candidates whose work was included in the moderation sample

Retain all marked candidates' work (including any sample returned after moderation) under secure conditions for the required retention period

In liaison with IT, take steps to protect any work stored electronically from corruption and has a back-up procedure in place

If retention is a problem because of the nature of the work, retain some form of evidence such as photos, audio or media recordings

Exams officer role and responsibilities:

Ensure any sample returned after moderation is logged and returned to the subject teacher for secure storage and required retention

External moderation - the process

Subject teacher role and responsibilities:

Ensure that awarding body or its moderator receive the correct samples of candidates' work

Where relevant, liaise with the awarding body/moderator where the moderator visits the centre to mark the sample of work

Comply with any request from the moderator for remaining work or further evidence of the centre's marking

Subject lead role and responsibilities:

Check the final moderated marks when issued to the centre when the results are published

Check any moderator reports and ensure that any remedial action, if necessary, is undertaken before the next exam series

Exams officer role and responsibilities:

Access or signpost any moderator reports to relevant staff

Takes remedial action, if necessary, where feedback may relate to centre administration

Access arrangements and reasonable adjustments

Subject teacher role and responsibilities:

Work with the SENCo (or equivalent role) to ensure any access arrangements for eligible candidates are applied to assessments

SENCo (or equivalent) role and responsibilities:

Follow the regulations and guidance in the JCQ document Access Arrangements and Reasonable Adjustments in relation to non-examination assessment

Where arrangements do not undermine the integrity of the qualification and is the candidate's normal way of working, ensure access arrangements are in place and awarding body approval, where required, has been obtained prior to assessments taking place

Make subject teachers aware of any access arrangements for eligible candidates which need to be applied to assessments

Work with subject teachers to ensure requirements for access arrangement candidates requiring the support of a facilitator in assessments are met

Ensure that staff acting as an access arrangement facilitator are fully trained in their role

Special consideration and loss of work

Subject teacher role and responsibilities:

Understand that a candidate may be eligible for special consideration in assessments in certain situations where a candidate is absent and/or produces a reduced quantity of work

Liaise with the exams officer when special consideration may need to be applied for a candidate taking assessments

Liaise with the exams officer to report loss of work to the awarding body

Exams officer role and responsibilities:

Refer to/directs relevant staff to the JCQ document A guide to the special consideration process:

Where a candidate is eligible, submits an application for special consideration via the awarding body's secure extranet site to the prescribed timescale

Where application for special consideration via the awarding body's secure extranet site is not applicable, submits the required form to the awarding body to the prescribed timescale

Keeps required evidence on file to support the application

Refer to/directs relevant staff where applicable to Form 15 - JCQ/LCW (lost work) and where applicable submits to the relevant awarding body

Malpractice

Head of centre role and responsibilities:

Understand the responsibility to immediately report to the relevant awarding body any alleged, suspected or actual incidents of malpractice involving candidates or centre staff

Ensures any irregularity identified by the centre before the candidate has signed the authentication statement (where required) are dealt with under its own internal procedures, with no requirement to report the irregularity to the awarding body (The only exception being where the awarding body's confidential assessment materials has been breached, the breach must be report to the awarding body)

Is familiar with the JCQ document Suspected Malpractice: Policies and Procedures

Ensure that those members of teaching staff involved in the direct supervision of candidates producing non-examination assessments or coursework are aware of the potential for malpractice and ensure that teaching staff are reminded that failure to report allegations of malpractice or suspected malpractice constitutes malpractice in itself

Subject teacher role and responsibilities:

Is aware of the JCQ Notice to Centre - Sharing NEA material and candidates' work

Ensure candidates understand what constitutes malpractice in non-examination assessments/coursework

Ensure candidates understand the JCQ documents Information for candidates - non-examination assessments/coursework assessments

Ensure candidates understand the JCQ document Information for candidates - social media

Escalate and report any alleged, suspected or actual incidents of malpractice involving candidates to the head of centre

Exams office/officer role and responsibilities:

Signpost the JCQ document Suspected Malpractice: Policies and Procedures to the head of centre

Signpost to relevant staff the JCQ Notice to Centres - Sharing NEA material and candidates' work

Signpost candidates to the relevant JCQ information for candidates documents

Where required, support the head of centre in investigating and reporting incidents of alleged, suspected or actual malpractice

Post-results services

Head of centre role and responsibilities:

Is familiar with the JCQ document Post-Results Services

Ensure the centre's internal appeals procedure clearly details the process to be followed by candidates (or their parents/carers) appealing against a centre decision not to support an application for a review of results or an appeal

Subject lead role and responsibilities:

Provide relevant support to subject teachers making decisions about reviews of results

Provide advice and guidance to candidates on their results and the post-results services available

Provide the exams officer with the original sample or relevant sample of candidates' work that may be required for a review of moderation to the internal deadline

Exams officer role and responsibilities:

Is aware of the individual post-results services available for externally assessed and internally assessed components as detailed in the JCQ document Post-Results Services (Information and guidance to centres...)

Provide/signpost relevant centre staff and candidates to post-results services information

Ensure any requests for post-results services that are available to centre-assessed work are submitted online via the awarding body secure extranet site to deadline

Endorsements

Spoken Language Endorsement for GCSE English Language specifications (designed for use in England)

QA lead/Lead internal verifier (or equivalent) role and responsibilities:

Ensure the appropriate arrangements are in place for internal standardisation of assessments

Subject lead role and responsibilities:

Confirm understanding of the Spoken Language Endorsement for GCSE English Language specifications and ensures any relevant JCQ/awarding body instructions are followed

Ensure the required task setting and task taking instructions are followed by subject teachers

Ensure subject teachers assess candidates, either live or from recordings, using the common assessment criteria

Ensure for monitoring purposes, audio-visual recordings of the presentations of a sample of candidates are provided

Subject teacher role and responsibilities:

Ensure all the requirements in relation to the endorsement are known and understood

Follow the required task setting and task taking instructions

Assess candidates, either live or from recordings, using the common assessment criteria

Provide audio-visual recordings of the presentations of a sample of candidates for monitoring purposes

Exams office/officer role and responsibilities:

Follow the awarding body's instructions for the submission of grades (Pass, Merit, Distinction or Not Classified) and the storage and submission of recordings

Management of issues and potential risks associated with non-examination assessment

Centre staff malpractice

Records confirm that relevant centre staff are familiar with and follow the current JCQ publication Instructions for conducting non-examination assessments and the JCQ document Notice to Centres - Sharing NEA material and candidates' work.

Candidate malpractice

Records confirm that candidates are informed and understand they must not: submit work which is not their own / make available their work to other candidates through any medium / allow other candidates to have access to their own independently sourced material / assist other candidates to produce work / use books, the internet, AI or other sources without acknowledgement or attribution / submit work that has been word processed by a third party without acknowledgement / include inappropriate, offensive or obscene material.

Instructions and processes in the current JCQ documents Instructions for conducting non-examination assessments (9. Malpractice) / Instructions for conducting coursework (6. Malpractice in coursework) are followed

Investigation and reporting procedures in the current JCQ document Suspected Malpractice: Policies and Procedures are followed

Appropriate internal disciplinary procedures are also followed

Records confirm that candidates have been made aware of the JCQ documents Information for candidates - nonexamination assessments/coursework and Information for candidates – social media - and understand they must not post their work on social media

Awarding body set task: IT failure/corruption of task details where set task details accessed from the awarding body online

Awarding body key date for accessing/downloading set task noted prior to start of course

IT systems checked prior to key date

Alternative IT system used to gain access

Awarding body contacted to request direct email of task details

Centre set task: Subject teacher fails to meet the assessment criteria as detailed in the specification

Ensures that subject teachers access awarding body training, information, practice materials etc.

Records confirmation that subject teachers understand the task setting arrangements as defined in the awarding body's specification

Samples assessment criteria in the centre set task

Candidates do not understand the marking criteria and what they need to do to gain credit

A simplified version of the awarding body's marking criteria described in the specification that is not specific to the work of an individual candidate or group of candidates is produced for candidates

Records confirm all candidates understand the marking criteria

Candidates confirm/record they understand the marking criteria

Subject teacher long term absence

See centre's contingency plan (Teaching staff extended absence)

Awarding body set task not issued to candidates on time

Awarding body key date for accessing set task as detailed in the specification noted prior to start of course

Course information issued to candidates contains details when set task will be issued and needs to be completed by

Set task accessed well in advance to allow time for planning, resourcing and teaching

The wrong task is given to candidates

Ensures course planning and information taken from the awarding body's specification confirms the correct task will be issued to candidates

Awarding body guidance sought where this issue remains unresolved

A candidate (or parent/carer) expresses concern about safeguarding, confidentiality or faith in undertaking a task such as a presentation that may be recorded

Ensures the candidate's presentation does not form part of the sample which will be recorded

Contacts the awarding body at the earliest opportunity where unable to record the required number of candidates for the monitoring sample

Planned assessments clash with other centre or candidate activities

Assessment plan identified for the start of the course

Assessment dates/periods included in centre wide calendar

Rooms or facilities inadequate for candidates to take tasks under appropriate supervision

Timetabling organised to allocate appropriate rooms and IT facilities for the start of the course

Staggered sessions arranged where IT facilities insufficient for number of candidates

Whole cohort to undertake written task in large exam venue at the same time (exam conditions do not apply)

Insufficient supervision of candidates to enable work to be authenticated

Confirm subject teachers are aware of and follow the current JCQ document Instructions for conducting nonexamination assessments and any other specific instructions detailed in the awarding body's specification in relation to the supervision of candidates

Confirm subject teachers understand their role and responsibilities as detailed in the centre's non-examination assessment policy

A candidate is suspected of malpractice prior to submitting their work for assessment

Instructions and processes in the current JCQ publication Instructions for conducting non-examination assessments (9. Malpractice) / Instructions for conducting coursework (6. Malpractice in coursework) are followed

An internal investigation and where appropriate internal disciplinary procedures are followed

Access arrangements were not put in place for an assessment where a candidate is approved for arrangements

Relevant staff are signposted to the JCQ document A guide to the special consideration process (section 2), to determine the process to be followed to apply for special consideration for the candidate

Candidate claims appropriate advice and feedback not given by subject teacher prior to starting on their work

Ensures a centre-wide process is in place for subject teachers to record all information provided to candidates before work begins as part of the centre's quality assurance procedures

Regular monitoring of subject teacher completed records and sign-off to confirm monitoring activity

Full records kept detailing all information and advice given to candidates prior to starting on their work as appropriate to the subject and component

Candidate confirms/records advice and feedback given prior to starting on their work

Candidate claims no advice and feedback given by subject teacher during the task-taking stage

Ensures a centre-wide process is in place for subject teachers to record all advice and feedback provided to candidates during the task-taking stage as part of the centre's quality assurance procedure

Regular monitoring of subject teacher completed records and sign-off to confirm monitoring activity

Full records kept detailing all advice and feedback given to candidates during the task-taking stage as appropriate to the subject and component

Candidate confirms/records advice and feedback given during the task-taking stage

A third-party claims that assistance was given to candidates by the subject teacher over and above that allowed in the regulations and specification

An investigation is conducted; candidates and subject teacher are interviewed and statements recorded where relevant

Records as detailed above are provided to confirm all assistance given

Where appropriate, a suspected malpractice report is submitted to the awarding body

Candidate does not reference information from published source as required

Candidate is advised at a general level to reference information before work is submitted for formal assessment

Candidate is referred to the JCQ documents Information for candidates: non-examination assessments/coursework assessments

Candidate's detailed record of his/her own research, planning, resources etc. is regularly checked to ensure continued completion

Candidate joins the course late after formally supervised task taking has started

A separate supervised session(s) is arranged for the candidate to catch up

Candidate moves to another centre during the course

Awarding body guidance is sought to determine what can be done depending on the stage at which the move takes place

An excluded pupil wants to complete his/her nonexamination assessment(s)

The awarding body specification is checked to determine if the specification is available to a candidate outside mainstream education. If so, arrangements for supervision, authentication and marking are made separately for the candidate

A candidate augments notes and resources between formally supervised sessions

Preparatory notes and the work to be assessed are collected in and kept secure between formally supervised sessions. Where memory sticks are used by candidates, these are collected in and kept secure between formally supervised sessions

Where work is stored on the centre's network, access for candidates is restricted between formally supervised sessions

A candidate fails to acknowledge sources on work that is submitted for assessment

Candidate's detailed record of his/her own research, planning, resources etc. is checked to confirm all the sources used, including books, websites and audio/visual resources

Awarding body guidance is sought on whether the work of the candidate should be marked where candidate's detailed records acknowledges sources appropriately

Where confirmation is unavailable from candidate's records, awarding body guidance is sought and/or a mark of zero is submitted to the awarding body for the candidate

A candidate is penalised by the awarding body for exceeding word or time limits

Records confirm the awarding body specification has been Subject checked to determine if word or time limits are mandatory

Where limits are for guidance only, candidates are discouraged from exceeding them

Candidates confirm/record any information provided to them on word or time limits is known and understood

Candidates have worked in groups where the awarding body specification states this is not permitted

Records confirm the awarding body specification has been checked to determine if group work is permitted

Awarding body guidance sought where this issue remains unresolved

A teacher has doubts about the authenticity of the work submitted by a candidate for internal assessment (Candidate plagiarises other material)

Records confirm subject staff have been made aware of the JCQ document Notice to Centres - Sharing NEA material and candidates

Records confirm that candidates have been issued with the current JCQ document Information for candidates: nonexamination assessments/coursework assessments

Candidates confirm/record that they understand what they need to do to comply with the regulations for nonexamination assessments as outlined in the JCQ document Information for candidates: non-examination assessments

The candidate's work is not accepted for assessment

A mark of zero is recorded and submitted to the awarding body

Candidate does not sign their authentication statement/declaration

Records confirm that candidates have been issued with the current JCQ document Information for candidates: nonexamination assessments/coursework assessments

Candidates confirm/record they understand what they need to do to comply with the regulations as outlined in the JCQ document Information for candidates: nonexamination assessments/coursework assessments

Declaration is checked for signature before accepting the work of a candidate for formal assessment

Subject teacher not available to sign authentication forms

Ensures a centre-wide process is in place for subject teachers to sign authentication forms at the point of marking candidates work as part of the centre's quality assurance procedures

Candidate does not fully complete the awarding body's cover sheet that is attached

Cover sheet is checked to ensure it is fully completed before accepting the work of a candidate for formal assessment to their work submitted for formal assessment

Candidates work between formal supervised sessions is not securely stored

Records confirm subject teachers are aware of and follow current JCQ document Instructions for conducting nonexamination assessments

Regular monitoring/internal audit ensures subject teacher use of appropriate secure storage

Adequate secure storage not available to subject teacher

Records confirm adequate/sufficient secure storage is available to subject teacher prior to the start of the course

Alternative secure storage sourced where required

Records confirm subject teachers are aware of and follow current JCQ publication Instructions for conducting nonexamination assessments

Internal processes and regular monitoring/internal audit by IT Manager ensures access to this material is restricted; appropriate security safeguards are in place; an effective back-up strategy is employed so that an up to date archive of candidates' evidence is maintained; any sensitive digital media is encrypted (according to awarding body guidance to ensure that the method of encryption is suitable) to ensure the security of the data stored within it

A candidate is absent on the day of the examiner visit for an acceptable reason

Awarding body guidance is sought to determine if alternative assessment arrangements can be made for the candidate. If not, eligibility for special consideration is explored and a request submitted to the awarding body where appropriate

A candidate is absent on the day of the examiner visit for an unacceptable reason

The candidate is marked absent on the attendance register

A candidate submits little or no work

Where a candidate submits no work, the candidate is recorded as absent when marks are submitted to the awarding body

Where a candidate submits little work, the work produced is assessed against the assessment criteria and a mark allocated appropriately; where the work does not meet any of the assessment criteria a mark of zero is submitted to the awarding body

A candidate is unable to finish their work for unforeseen reason

Relevant staff are signposted to the JCQ document A guide to the special consideration process (5), to determine eligibility and the process to be followed for shortfall in work

The work of a candidate is lost or damaged

Relevant staff are signposted to the JCQ documents Instructions for conducting non-examination assessments (8) / Instructions for conducting coursework (16), to determine eligibility and the process to be followed for lost or damaged work

A teacher assesses the work of a candidate with whom they have a close personal relationship e.g. members of their family (which includes step-family, foster family and similar close relationships) or close friends and their immediate family (e.g. son/daughter)

A possible conflict of interest is declared by informing the awarding body before the published deadline for entries for each examination series

Marked work of said candidate is submitted for moderation whether part of the sample requested or not

An extension to the deadline for submission of marks is required for a legitimate reason

Awarding body is contacted to determine if an extension can be granted

Relevant staff are signposted to the JCQ document A guide to the special consideration process (5), to determine eligibility and the process to be followed for an extension

After submission of marks, it is discovered that the wrong task was given to candidates

Awarding body is contacted for guidance

Relevant staff are signposted to the JCQ publication A guide to the special consideration process (section 2), to determine eligibility and the process to be followed to apply for special consideration for candidates

A candidate wishes to appeal/request a review of the marks awarded for their work by their teacher

Candidates are informed of the marks they have been awarded for their work prior to the marks being submitted to the awarding body

Records confirm candidates have been informed of their marks

Candidates are informed that these marks are subject to change through the awarding body's moderation process

Candidates are informed of their marks to the timescale identified in the centre's internal appeals procedure and prior to the internal deadline set by the exams officer for the submission of marks

Through the candidate exam handbook, candidates are made aware of the centre's internal appeals procedure and timescale for submitting an appeal/request for a review of the centre's marking prior to the submission of marks to the awarding body

Deadline for submitting work for formal assessment not met by candidate

Records confirm deadlines given and understood by candidates at the start of the course

Candidates confirm/record deadlines known and understood

Depending on the circumstances, awarding body guidance sought to determine if the work can be accepted late for marking providing the awarding body's deadline for submitting marks can be met
Decision made (depending on the circumstances) if the work will be accepted late for marking or a mark of zero submitted to the awarding body for the candidate

Deadline for submitting marks and samples of candidates work ignored by subject teacher

Internal/external deadlines are published at the start of each academic year

Reminders are issued through senior leaders/subject heads as deadlines approach

Records confirm deadlines known and understood by subject teachers

Where appropriate, internal disciplinary procedures are followed